

# APPLICATION UNDER UNITED STATES PATENT LAWS

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Invention: PRODUCTION OF HYDROXYLATED FATTY ACIDS IN GENETICALLY MODIFIED PLANTS

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## This is a:

- ☐ Provisional Application
- ☐ Regular Utility Application
- ☒ Continuing Application
  - ☒ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
  - Sub. Spec. Filed \_\_\_\_\_
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## SPECIFICATION

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PRODUCTION OF HYDROXYLATED FATTY ACIDS  
IN GENETICALLY MODIFIED PLANTS

5 CROSS-REFERENCE TO RELATED APPLICATION

Sub  
A1

This application is a continuation-in-part of  
U.S. patent application Serial No. 08/530,862,  
filed September 20, 1995, the entire contents of  
which are hereby incorporated by reference and  
10 relied upon.

GOVERNMENT RIGHTS

The invention described herein was made in  
the course of work under grant number DE-FG02-  
15 94ER20133 from the U.S. Department of Energy and  
grant No. MCB9305269 from the National Science  
Foundation. Therefore, the U.S. Government has  
certain rights under this invention.

20 TECHNICAL FIELD

The present invention concerns the  
identification of nucleic acid sequences and  
constructs, and methods related thereto, and the  
use of these sequences and constructs to produce  
25 genetically modified plants for the purpose of  
altering the fatty acid composition of plant oils,  
waxes and related compounds.

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## DEFINITIONS

The subject of this invention is a class of enzymes that introduce a hydroxyl group into several different fatty acids resulting in the production of several different kinds of hydroxylated fatty acids. In particular, these enzymes catalyze hydroxylation of oleic acid to 12-hydroxy oleic acid and icosenoic acid to 14-hydroxy icosenoic acid. Other fatty acids such as palmitoleic and erucic acids may also be substrates. Since it is not possible to refer to the enzyme by reference to a unique substrate or product, we refer to the enzyme throughout as kappa hydroxylase to indicate that the enzyme introduces the hydroxyl three carbons distal (i.e., away from the carboxyl carbon of the acyl chain) from a double bond located near the center of the acyl chain.

The following fatty acids are also the subject of this invention: ricinoleic acid, 12-hydroxyoctadec-*cis*-9-enoic acid (12OH-18:1<sup>*cis*Δ<sup>9</sup></sup>); lesquerolic acid, 14-hydroxy-*cis*-11-icosenoic acid (14OH-20:1<sup>*cis*Δ<sup>11</sup></sup>); densipolic acid, 12-hydroxyoctadec-*cis*-9,15-dienoic acid (12OH-18:2<sup>*cis*Δ<sup>9,15</sup></sup>); auricolic acid, 14-hydroxy-*cis*-11,17-icosadienoic acid (14OH-20:2<sup>*cis*Δ<sup>11,17</sup></sup>); hydroxyerucic, 16-hydroxydocos-*cis*-13-enoic acid (16OH-22:1<sup>*cis*Δ<sup>13</sup></sup>); hydroxypalmitoleic, 12-

hydroxyhexadec-*cis*-9-enoic (12OH-16:1<sup>cis</sup> $\Delta$ 9);  
icosenoic acid (20:1<sup>cis</sup> $\Delta$ 11). It will be noted  
that icosenoic acid is spelled eicosenoic acid in  
some countries.

5

#### BACKGROUND

Extensive surveys of the fatty acid  
composition of seed oils from different species of  
higher plants have resulted in the identification  
of at least 33 structurally distinct  
monohydroxylated plant fatty acids, and 12  
different polyhydroxylated fatty acids that are  
accumulated by one or more plant species (reviewed  
by van de Loo et al. 1993). Ricinoleic acid, the  
principal constituent of the seed oil from the  
castor plant *Ricinus communis* (L.), is of  
commercial importance. We have previously  
described the cloning of a gene from this species  
that encodes a fatty acid hydroxylase, and the use  
of this gene to produce ricinoleic acid in  
transgenic plants of other species (see U.S.  
patent application Serial No. 08/320,982, filed  
October 11, 1994). The scientific evidence  
supporting the claims in that patent application  
were subsequently published (van de Loo et al.,  
1995).

The use of the castor hydroxylase gene to  
also produce other hydroxylated fatty acids such

as lesquerolic acid, densipolic acid,  
hydroxypalmitoleic, hydroxyerucic and auricolic  
acid in transgenic plants is the subject of this  
invention. In addition, the identification of a  
5 gene encoding a homologous hydroxylase from  
*Lesquerella fendleri*, and the use of this gene to  
produce these hydroxylated fatty acids in  
transgenic plants is the subject of this  
invention.

10 Castor is a minor oilseed crop.  
Approximately 50% of the seed weight is oil  
(triacylglycerol) in which 85-90% of total fatty  
acids are the hydroxylated fatty acid, ricinoleic  
acid. Oil pressed or extracted from castor seeds  
15 has many industrial uses based upon the properties  
endowed by the hydroxylated fatty acid. The most  
important uses are production of paints and  
varnishes, nylon-type synthetic polymers, resins,  
lubricants, and cosmetics (Atsmon 1989).

20 In addition to oil, the castor seed contains  
the extremely toxic protein ricin, allergenic  
proteins, and the alkaloid ricinine. These  
constituents preclude the use of the untreated  
seed meal (following oil extraction) as a  
25 livestock feed, normally an important economic  
aspect of oilseed utilization. Furthermore, with  
the variable nature of castor plants and a lack of  
investment in breeding, castor has few favorable

agronomic characteristics.

For a combination of these reasons, castor is no longer grown in the United States and the development of an alternative domestic source of hydroxylated fatty acids would be attractive. The production of ricinoleic acid, the important constituent of castor oil, in an established oilseed crop through genetic engineering would be a particularly effective means of creating a domestic source.

Because there is no practical source of lesquerolic, densipolic and auricolic acids from plants that are adapted to modern agricultural practices, there is currently no large-scale use of these fatty acids by industry. However, the fatty acids would have uses similar to those of ricinoleic acid if they could be produced in large quantities at comparable cost to other plant-derived fatty acids (Smith 1985).

Plant species, such as certain species in the genus *Lesquerella*, that accumulate a high proportion of these fatty acids, have not been domesticated and are not currently considered a practical source of fatty acids (Hirsinger, 1989). This invention represents a useful step toward the eventual production of these and other hydroxylated fatty acids in transgenic plants of agricultural importance.

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TOTAL 6875860

The taxonomic relationships between plants having similar or identical kinds of unusual fatty acids have been examined (van de Loo et al., 1993). In some cases, particular fatty acids occur mostly or solely in related taxa. In other cases there does not appear to be a direct link between taxonomic relationships and the occurrence of unusual fatty acids. In this respect, ricinoleic acid has now been identified in 12 genera from 10 families (reviewed in van de Loo et al., 1993). Thus, it appears that the ability to synthesize hydroxylated fatty acids has evolved several times independently during the radiation of the angiosperms. This suggested to us that the enzymes which introduce hydroxyl groups into fatty acids arose by minor modifications of a related enzyme.

Indeed, as shown herein, the sequence similarity between  $\Delta 12$  fatty acid desaturases and the kappa hydroxylase from castor is so high that it is not possible to unambiguously determine whether a particular enzyme is a desaturase or a hydroxylase on the basis of evidence in the scientific literature. Similarly, a patent application (PCT/US93/09987) that purports to teach the isolation and use of  $\Delta 12$  fatty acid desaturases does not teach how to distinguish a hydroxylase from a desaturase. In view of the

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importance of being able to distinguish between these activities for the purpose of genetic engineering of plant oils, the utility of that application is limited to the several instances  
5 where direct experimental evidence (e.g., altered fatty acid composition in transgenic plants) was presented to support the assignment of function. A method for distinguishing between fatty acid desaturases and fatty acid hydroxylases on the  
10 basis of amino acid sequence of the enzyme is also a subject of this invention.

A feature of hydroxylated or other unusual fatty acids is that they are generally confined to seed triacylglycerols, being largely excluded from  
15 the polar lipids by unknown mechanisms (Battey and Ohlrogge 1989; Prasad et al., 1987). This is particularly intriguing since diacylglycerol is a precursor of both triacylglycerol and polar lipid. With castor microsomes, there is some evidence  
20 that the pool of ricinoleoyl-containing polar lipid is minimized by a preference of diacylglycerol acyltransferase for ricinoleate-containing diacylglycerols (Bafor et al. 1991). Analyses of vegetative tissues have generated few  
25 reports of unusual fatty acids, other than those occurring in the cuticle. The cuticle contains various hydroxylated fatty acids which are interesterified to produce a high molecular weight



polyester which serves a structural role. A small number of other exceptions exist in which unusual fatty acids are found in tissues other than the seed.

5           The biosynthesis of ricinoleic acid from oleic acid in the developing endosperm of castor (*Ricinus communis*) has been studied by a variety of methods. Morris (1967) established in double-labeling studies that hydroxylation occurs  
10 directly by hydroxyl substitution rather than via an unsaturated-, keto- or epoxy-intermediate. Hydroxylation using oleoyl-CoA as precursor can be demonstrated in crude preparations or microsomes, but activity in microsomes is unstable and  
15 variable, and isolation of the microsomes involved a considerable, or sometimes complete loss of activity (Galliard and Stumpf, 1966; Moreau and Stumpf, 1981). Oleic acid can replace oleoyl-CoA as a precursor, but only in the presence of CoA,  
20  $Mg^{2+}$  and ATP (Galliard and Stumpf, 1966) indicating that activation to the acyl-CoA is necessary. However, no radioactivity could be detected in ricinoleoyl-CoA (Moreau and Stumpf, 1981). These and more recent observations (Bafor  
25 et al., 1991) have been interpreted as evidence that the substrate for the castor oleate hydroxylase is oleic acid esterified to phosphatidylcholine or another phospholipid.

09885189-062101

The hydroxylase is sensitive to cyanide and azide, and dialysis against metal chelators reduces activity, which could be restored by addition of  $\text{FeSO}_4$ , suggesting iron involvement in enzyme activity (Galliard and Stumpf, 1966). Ricinoleic acid synthesis requires molecular oxygen (Galliard and Stumpf, 1966; Moreau and Stumpf 1981) and requires NAD(P)H to reduce cytochrome b5 which is thought to be the intermediate electron donor for the hydroxylase reaction (Smith et al., 1992). Carbon monoxide does not inhibit hydroxylation, indicating that a cytochrome P450 is not involved (Galliard and Stumpf, 1966; Moréau and Stumpf 1981). Data from a study of the substrate specificity of the hydroxylase show that all substrate parameters (i.e., chain length and double bond position with respect to both ends) are important; deviations in these parameters caused reduced activity relative to oleic acid (Howling et al., 1972). The position at which the hydroxyl was introduced, however, was determined by the position of the double bond, always being three carbons distal. Thus, the castor acyl hydroxylase enzyme can produce a family of different hydroxylated fatty acids depending on the availability of substrates. Thus, as a matter of convenience, we refer to the enzyme throughout as a kappa hydroxylase (rather

than an oleate hydroxylase) to indicate the broad substrate specificity.

The castor kappa hydroxylase has many superficial similarities to the microsomal fatty acyl desaturases (Browse and Somerville, 1991). In particular, plants have a microsomal oleate desaturase active at the  $\Delta 12$  position. The substrate of this enzyme (Schmidt et al., 1993) and of the hydroxylase (Bafor et al., 1991) appears to be a fatty acid esterified to the *sn*-2 position of phosphatidylcholine. When oleate is the substrate, the modification occurs at the same position ( $\Delta 12$ ) in the carbon chain, and requires the same cofactors, namely electrons from NADH via cytochrome  $b_5$  and molecular oxygen. Neither enzyme is inhibited by carbon monoxide (Moreau and Stumpf, 1981), the characteristic inhibitor of cytochrome P450 enzymes.

There do not appear to have been any published biochemical studies of the properties of the hydroxylase enzyme(s) in *Lesquerella*.

#### Conceptual basis of the invention

In U.S. patent application No. 08/320,982, we described the use of a cDNA clone from castor for the production of ricinoleic acid in transgenic plants. As noted above, biochemical studies by others had suggested that the castor hydroxylase

may not have strict specificity for oleic acid but would also catalyze hydroxylation of other fatty acids such as icosenoic acid (20:1<sup>cisΔ11</sup>) (Howling et al., 1972). Based on these studies, our previous application No. 08/320,982 noted in Example 2 that the expression of the castor hydroxylase in transgenic plants of species such as *Brassica napus* and *Arabidopsis thaliana* that accumulate fatty acids such as icosenoic acid (20:1<sup>cisΔ11</sup>) and erucic acid (13-docosenoic acid; 22:1<sup>cisΔ13</sup>) would be expected to accumulate some of the hydroxylated derivatives of these fatty acids due to the activity of the hydroxylase on these fatty acids. We have now obtained additional direct evidence for such a claim based on the production of ricinoleic, lesquerolic, densipolic and auricollic fatty acids in transgenic *Arabidopsis* plants and have included such evidence herein as Example 1.

In Example 3 of the previous application, we taught the various methods by which the castor hydroxylase clone and sequences derived thereof could be used to identify other hydroxylase clones from plant species such as *Lesquerella fendleri* that are known to accumulate hydroxylated fatty acids in seed oils. In this continuation we have provided an example of the use of that aspect of the invention for the isolation of a novel

hydroxylase gene from *Lesquerella fendleri*.

In view of the high degree of sequence similarity between  $\Delta 12$  fatty acid desaturases and the castor hydroxylase (van de Loo et al., 1995), the validity of claims (e.g., PCT WO 94/11516) for the use of desaturase or hydroxylase genes or sequences derived therefrom for the identification of genes of identical function from other species must be viewed with skepticism. In this

application, we teach a method by which hydroxylase genes can be distinguished from desaturases and describe methods by which  $\Delta 12$  desaturases can be converted to hydroxylases by the modification of the gene encoding the desaturases. A mechanistic basis for the similar reaction mechanisms of desaturases and hydroxylases was presented in the earlier patent application (No. 08/320,982). Briefly, the available evidence suggests that fatty acid desaturases have a similar reaction mechanism to the bacterial enzyme methane monooxygenase which catalyses a reaction involving oxygen-atom transfer ( $\text{CH}_4 \rightarrow \text{CH}_3\text{OH}$ ) (van de Loo et al., 1993).

The cofactor in the hydroxylase component of methane monooxygenase is termed a  $\mu$ -oxo bridged diiron cluster ( $\text{FeOFe}$ ). The two iron atoms of the  $\text{FeOFe}$  cluster are liganded by protein-derived nitrogen or oxygen atoms, and are tightly redox-

coupled by the covalently-bridging oxygen atom.  
The FeOFe cluster accepts two electrons, reducing  
it to the diferrous state, before oxygen binding.  
Upon oxygen binding, it is likely that heterolytic  
5 cleavage also occurs, leading to a high valent  
oxoiron reactive species that is stabilized by  
resonance rearrangements possible within the  
tightly coupled FeOFe cluster. The stabilized  
high-valent oxoiron state of methane monooxygenase  
10 is capable of proton extraction from methane,  
followed by oxygen transfer, giving methanol. The  
FeOFe cofactor has been shown to be directly  
relevant to plant fatty acid modifications by the  
demonstration that castor stearoyl-ACP desaturase  
15 contains this type of cofactor (Fox et al., 1993).

On the basis of the foregoing considerations,  
we hypothesized that the castor oleate hydroxylase  
is a structurally modified fatty acyl desaturase,  
based upon three arguments. The first argument  
20 involves the taxonomic distribution of plants  
containing ricinoleic acid. Ricinoleic acid has  
been found in 12 genera of 10 families of higher  
plants (reviewed in van de Loo et al., 1993).  
Thus, plants in which ricinoleic acid occurs are  
25 found throughout the plant kingdom, yet close  
relatives of these plants do not contain the  
unusual fatty acid. This pattern suggests that  
the ability to synthesize ricinoleic acid has

arisen (and been lost) several times independently, and is therefore a quite recent divergence. In other words, the ability to synthesize ricinoleic acid has evolved rapidly, suggesting that a relatively minor genetic change in the structure of the ancestral enzyme was necessary to accomplish it.

The second argument is that many biochemical properties of castor kappa hydroxylase are similar to those of the microsomal desaturases, as discussed above (e.g., both preferentially act on fatty acids esterified to the sn-2 position of phosphatidylcholine, both use cytochrome b5 as an intermediate electron donor, both are inhibited by cyanide, both require molecular oxygen as a substrate, both are thought to be located in the endoplasmic reticulum).

The third argument stems from the discussion of oxygenase cofactors above, in which it is suggested that the plant membrane bound fatty acid desaturases may have a  $\mu$ -oxo bridged diiron cluster-type cofactor, and that such cofactors are capable of catalyzing both fatty acid desaturations and hydroxylations, depending upon the electronic and structural properties of the protein active site.

Taking these three arguments together, it was hypothesized that kappa hydroxylase of castor

endosperm is homologous to the microsomal oleate  
Δ12 desaturase found in all plants. The evidence  
supporting this hypothesis was disclosed in the  
previous patent application (No. 08/320,982). A  
5 number of genes encoding microsomal Δ12  
desaturases from various species have recently  
been cloned (Okuley et al., 1994) and substantial  
information about the structure of these enzymes  
is now known (Shanklin et al. 1994). Hence, in  
10 the following invention we teach how to use  
structural information about fatty acyl  
desaturases to isolate kappa hydroxylase genes of  
this invention. This example teaches the method  
by which any carbon-monoxide insensitive plant  
15 fatty acyl hydroxylase gene can be identified by  
one skilled in the art.

An unpredicted outcome of our studies on the  
castor hydroxylase gene in transgenic Arabidopsis  
plants was the discovery that expression of the  
20 hydroxylase leads to increased accumulation of  
oleic acid in seed lipids. Because of the low  
nucleotide sequence homology between the castor  
hydroxylase and the Δ12-desaturase (about 67%), we  
consider it unlikely that this effect is due to  
25 silencing (also called sense-suppression or  
cosuppression) of the expression of the desaturase  
gene by the hydroxylase gene. Whatever the basis  
for the effect, this invention teaches the use of



Hydroxylase genes to alter the level of fatty acid unsaturation in transgenic plants. On the basis of a hypothesis about the mechanisms of the effect, this invention also teaches the use of genetically modified hydroxylase and desaturase genes to achieve directed modification of fatty acid unsaturation levels.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A-D show the mass spectra of hydroxy fatty acids standards (Figure 1A, O-TMS-methylricinoleate; Figure 1B, O-TMS-methyl densipoleate; Figure 1C, O-TMS-methyl-lesqueroleate; and Figure 1D, O-TS-methylauricoleate).

Figure 2 shows the fragmentation pattern of trimethylsilylated methyl esters of hydroxy fatty acids.

Figure 3A shows the gas chromatogram of fatty acids extracted from seeds of wild type Arabidopsis plants. Figure 3B shows the gas chromatogram of fatty acids extracted from seeds of transgenic Arabidopsis plants containing the fah12 hydroxylase gene. The numbers indicate the following fatty acids: [1] 16:0; [2] 18:0; [3] 18:1cis $\Delta$ 9; [4] 18:2cis $\Delta$ 9,12; [5] 20:0; [6] 20:1cis $\Delta$ 11; [7] 18:3cis $\Delta$ 9,12,15; [8] 22:1cis $\Delta$ 13; [9]

24:1<sup>cis</sup>Δ13; [10]ricinoleic acid; [11] densipolic acid; [12] lesquerolic acid; [13] auricolic acid.

Figures 4A-D show the mass spectra of novel fatty acids found in seeds of transgenic plants.

5 Figure 4A shows the mass spectrum of peak 10 from Figure 3B. Figure 4B shows the mass spectrum of peak 11 from Figure 3B. Figure 4C shows the mass spectrum of peak 12 from Figure 3B. Figure 4D shows the mass spectrum of peak 13 from Figure 3B.

10 Figure 5 shows the nucleotide sequence of pLesq2 (SEQ ID NO:1).

Figure 6 shows the nucleotide sequence of pLesq3 (SEQ ID NO:2).

15 Figure 7 shows a Northern blot of total RNA from seeds of *L. fendleri* probed with pLesq2 or pLesq3. S, indicates RNA is from seeds; L, indicates RNA is from leaves.

20 Figures 8A-B show the nucleotide sequence of genomic clone encoding pLesq-HYD (SEQ ID NO:3), and the deduced amino acid sequence of hydroxylase enzyme encoded by the gene (SEQ ID NO:4).

Figures 9A-B show multiple sequence alignment of deduced amino acid sequences for kappa hydroxylases and microsomal Δ12 desaturases.

25 Abbreviations are: Rcfah12, fah12 hydroxylase gene from *R. communis* (van de Loo et al., 1995); Lffah12, kappa hydroxylase gene from *L. fendleri*; Atfad2, fad2 desaturase from *Arabidopsis thaliana*

(Okuley et al., 1994); Gmfad2-1, fad2 desaturase  
 from *Glycine max* (GenBank accession number  
 L43920); Gmfad2-2, fad2 desaturase from *Glycine*  
*max* (Genbank accession number L43921); Zmfad2,  
 5 fad2 desaturase from *Zea mays* (PCT/US93/09987);  
 Rcfad2, fragment of fad2 desaturase from *R.*  
*communis* (PCT/US93/09987); Bnfad2, fad2 desaturase  
 from *Brassica napus* (PCT/US93/09987); LFFAH12.AMI,  
 SEQ ID NO:4; FAH12.AMI, SEQ ID NO:5; ATFAD2.AMI,  
 10 SEQ ID NO:6; BNFAD2.AMI, SEQ ID NO:7; GMFAD2-  
 1.AMI, SEQ ID NO:8; GMFAD2-2.AMI, SEQ ID NO:9;  
 ZMFAD2.AMI, SEQ ID NO:10; and RCFAD2.AMI, SEQ ID  
 NO:11.

Figure 10 shows a Southern blot of genomic  
 15 DNA from *L. fendleri* probed with pLesq-HYD.  
 E=EcoRI, H = HindIII, X = XbaI.

Figure 11 shows a map of binary Ti plasmid  
 pSLJ44024.

Figure 12 shows a map of plasmid pYES2.0

20 Figure 13 shows part of a gas chromatogram of  
 derivatized fatty acids from yeast cells that  
 contain plasmid pLesqYes in which expression of  
 the hydroxylase gene was induced by addition of  
 galactose to the growth medium. The arrow points  
 25 to a peak that is not present in uninduced cells.  
 The lower part of the figure is the mass spectrum  
 of the peak indicated by the arrow.

SUMMARY OF THE INVENTION

This invention relates to plant fatty acyl hydroxylases. Methods to use conserved amino acid or nucleotide sequences to obtain plant fatty acyl hydroxylases are described. Also described is the use of cDNA clones encoding a plant hydroxylase to produce a family of hydroxylated fatty acids in transgenic plants.

In a first embodiment, this invention is directed to recombinant DNA constructs which can provide for the transcription or transcription and translation (expression) of the plant kappa hydroxylase sequence. In particular, constructs which are capable of transcription or transcription and translation in plant host cells are preferred. Such constructs may contain a variety of regulatory regions including transcriptional initiation regions obtained from genes preferentially expressed in plant seed tissue. In a second aspect, this invention relates to the presence of such constructs in host cells, especially plant host cells which have an expressed plant kappa hydroxylase therein.

In yet another aspect, this invention relates to a method for producing a plant kappa hydroxylase in a host cell or progeny thereof via the expression of a construct in the cell. Cells

containing a plant kappa hydroxylase as a result of the production of the plant kappa hydroxylase encoding sequence are also contemplated herein.

In another embodiment, this invention relates to methods of using a DNA sequence encoding a plant kappa hydroxylase for the modification of the proportion of hydroxylated fatty acids produced within a cell, especially plant cells. Plant cells having such a modified hydroxylated fatty acid composition are also contemplated herein.

In a further aspect of this invention, plant kappa hydroxylase proteins and sequences which are related thereto, including amino acid and nucleic acid sequences, are contemplated. Plant kappa hydroxylase exemplified herein includes a *Lesquerella fendleri* fatty acid hydroxylase. This exemplified fatty acid hydroxylase may be used to obtain other plant fatty acid hydroxylases of this invention.

In a further aspect of this invention, a nucleic acid sequence which directs the seed specific expression of an associated polypeptide coding sequence is described. The use of this nucleic acid sequence or fragments derived thereof, to obtain seed-specific expression in higher plants of any coding sequence is contemplated herein.

In a further aspect of this invention, the use of genes encoding fatty acyl hydroxylases of this invention are used to alter the amount of fatty acid unsaturation of seed lipids. We further envision the use of genetically modified hydroxylase and desaturase genes to achieve directed modification of fatty acid unsaturation levels.

#### DETAILED DESCRIPTION OF THE INVENTION

A genetically transformed plant of the present invention which accumulates hydroxylated fatty acids can be obtained by expressing the double-stranded DNA molecules described in this application.

A plant fatty acid hydroxylase of this invention includes any sequence of amino acids, such as a protein, polypeptide or peptide fragment, or nucleic acid sequences encoding such polypeptides, obtainable from a plant source which demonstrates the ability to catalyze the production of ricinoleic, lesquerolic, hydroxyerucic (16-hydroxydocos-*cis*-13-enoic acid) or hydroxypalmitoleic (12-hydroxyhexadec-*cis*-9-enoic) from CoA, ACP or lipid-linked monoenoic fatty acid substrates under plant enzyme reactive conditions. By "enzyme reactive conditions" is

meant that any necessary conditions are available in an environment (i.e., such factors as temperature, pH, lack of inhibiting substances) which will permit the enzyme to function.

5            Preferential activity of a plant fatty acid hydroxylase toward a particular fatty acyl substrate is determined upon comparison of hydroxylated fatty acid product amounts obtained per different fatty acyl substrates. For example, 10 by "oleate preferring" is meant that the hydroxylase activity of the enzyme preparation demonstrates a preference for oleate-containing substrates over other substrates. Although the precise substrate of the castor fatty acid 15 hydroxylase is not known, it is thought to be a monounsaturated fatty acid moiety which is esterified to a phospholipid such as phosphatidylcholine. However, it is also possible that monounsaturated fatty acids esterified to 20 phosphatidylethanolamine, phosphatidic acid or a neutral lipid such as diacylglycerol or a Coenzyme-A thioester may also be substrates.

As noted above, significant activity has been observed in radioactive labelling studies using 25 fatty acyl substrates other than oleate (Howling et al., 1972) indicating that the substrate specificity is for a family of related fatty acyl compounds. Because the castor hydroxylase

introduces hydroxy groups three carbons from a double bond, proximal to the methyl carbon of the fatty acid, we term the enzyme a kappa hydroxylase for convenience. Of particular interest, we envision that the castor kappa hydroxylase may be used for production of 12-hydroxy-9-octadecenoic acid (ricinoleate), 12-hydroxy-9-hexadecenoic acid, 14-hydroxy-11-eicosenoic acid, 16-hydroxy-13-docosanoic acid, 9-hydroxy-6-octadecenoic acid by expression in plants species which produce the non-hydroxylated precursors. We also envision production of additionally modified fatty acids such as 12-hydroxy-9,15-octadecadienoic acid that result from desaturation of hydroxylated fatty acids (e.g., 12-hydroxy-9-octadecenoic acid in this example).

We also envision that future advances in the genetic engineering of plants will lead to production of substrate fatty acids, such as icosenoic acid esters, and palmitoleic acid esters in plants that do not normally accumulate such fatty acids. We envision that the invention described herein may be used in conjunction with such future improvements to produce hydroxylated fatty acids of this invention in any plant species that is amenable to directed genetic modification. Thus, the applicability of this invention is not limited in our conception only to those species



that currently accumulate suitable substrates.

As noted above, a plant kappa hydroxylase of this invention will display activity towards various fatty acyl substrates. During biosynthesis of lipids in a plant cell, fatty acids are typically covalently bound to acyl carrier protein (ACP), coenzyme A (CoA) or various cellular lipids. Plant kappa hydroxylases which display preferential activity toward lipid-linked acyl substrate are especially preferred because they are likely to be closely associated with normal pathway of storage lipid synthesis in immature embryos. However, activity toward acyl-CoA substrates or other synthetic substrates, for example, is also contemplated herein.

Other plant kappa hydroxylases are obtainable from the specific exemplified sequences provided herein. Furthermore, it will be apparent that one can obtain natural and synthetic plant kappa hydroxylases including modified amino acid sequences and starting materials for synthetic-protein modeling from the exemplified plant kappa hydroxylase and from plant kappa hydroxylases which are obtained through the use of such exemplified sequences. Modified amino acid sequences include sequences which have been mutated, truncated, increased and the like, whether such sequences were partially or wholly

synthesized. Sequences which are actually purified from plant preparations or are identical or encode identical proteins thereto, regardless of the method used to obtain the protein or  
5 sequence, are equally considered naturally derived.

Thus, one skilled in the art will readily recognize that antibody preparations, nucleic acid probes (DNA and RNA) and the like may be prepared  
10 and used to screen and recover "homologous" or "related" kappa hydroxylases from a variety of plant sources. Typically, nucleic acid probes are labeled to allow detection, preferably with radioactivity although enzymes or other methods  
15 may also be used. For immunological screening methods, antibody preparations either monoclonal or polyclonal are utilized. Polyclonal antibodies, although less specific, typically are more useful in gene isolation. For detection, the  
20 antibody is labeled using radioactivity or any one of a variety of second antibody/enzyme conjugate systems that are commercially available.

Homologous sequences are found when there is an identity of sequence and may be determined upon  
25 comparison of sequence information, nucleic acid or amino acid, or through hybridization reactions between a known kappa hydroxylase and a candidate source. Conservative changes, such as Glu/Asp,

Val/Ile, Ser/Thr, Arg/Lys and Gln/Asn may also be considered in determining sequence homology. Typically, a lengthy nucleic acid sequence may show as little as 50-60% sequence identity, and more preferably at least about 70% sequence identity, between the target sequence and the given plant kappa hydroxylase of interest excluding any deletions which may be present, and still be considered related. Amino acid sequences are considered homologous by as little as 25% sequence identity between the two complete mature proteins. (See generally, Doolittle, R.F., OF URFS and ORFS, University Science Books, CA, 1986.)

A genomic or other appropriate library prepared from the candidate plant source of interest may be probed with conserved sequences from the plant kappa hydroxylase to identify homologously related sequences. Use of an entire cDNA or other sequence may be employed if shorter probe sequences are not identified. Positive clones are then analyzed by restriction enzyme digestion and/or sequencing. When a genomic library is used, one or more sequences may be identified providing both the coding region, as well as the transcriptional regulatory elements of the kappa hydroxylase gene from such plant source. Probes can also be considerably shorter than the

entire sequence. Oligonucleotides may be used, for example, but should be at least about 10, preferably at least about 15, more preferably at least 20 nucleotides in length. When shorter length regions are used for comparison, a higher degree of sequence identity is required than for longer sequences. Shorter probes are often particularly useful for polymerase chain reactions (PCR), especially when highly conserved sequences can be identified (See Gould, et al., 1989 for examples of the use of PCR to isolate homologous genes from taxonomically diverse species).

When longer nucleic acid fragments are employed (>100 bp) as probes, especially when using complete or large cDNA sequences, one would screen with low stringencies (for example, 40-50°C below the melting temperature of the probe) in order to obtain signal from the target sample with 20-50% deviation, i.e., homologous sequences. (Beltz, et al. 1983).

In a preferred embodiment, a plant kappa hydroxylase of this invention will have at least 60% overall amino acid sequence similarity with the exemplified plant kappa hydroxylase. In particular, kappa hydroxylases which are obtainable from an amino acid or nucleic acid sequence of a castor or lesquerella kappa hydroxylase are especially preferred. The plant

kappa hydroxylases may have preferential activity toward longer or shorter chain fatty acyl substrates. Plant fatty acyl hydroxylases having oleate-12-hydroxylase activity and eicosenoate-14-hydroxylase activity are both considered homologously related proteins because of in vitro evidence (Howling et al., 1972), and evidence disclosed herein, that the castor kappa hydroxylase will act on both substrates.

Hydroxylated fatty acids may be subject to further enzymatic modification by other enzymes which are normally present or are introduced by genetic engineering methods. For example, 14-hydroxy-11,17-eicosadienoic acid, which is present in some *Lesquerella* species (Smith 1985), is thought to be produced by desaturation of 14-hydroxy-11-eicosenoic acid.

Again, not only can gene clones and materials derived thereof be used to identify homologous plant fatty acyl hydroxylases, but the resulting sequences obtained therefrom may also provide a further method to obtain plant fatty acyl hydroxylases from other plant sources. In particular, PCR may be a useful technique to obtain related plant fatty acyl hydroxylases from sequence data provided herein. One skilled in the art will be able to design oligonucleotide probes based upon sequence comparisons or regions of

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typically highly conserved sequence. Of special interest are polymerase chain reaction primers based on the conserved regions of amino acid sequence between the castor kappa hydroxylase and the *L. fendleri* hydroxylase (SEQ ID NO:4). Details relating to the design and methods for a PCR reaction using these probes are described more fully in the examples.

It should also be noted that the fatty acyl hydroxylases of a variety of sources can be used to investigate fatty acid hydroxylation events in a wide variety of plant and *in vivo* applications. Because all plants synthesize fatty acids via a common metabolic pathway, the study and/or application of one plant fatty acid hydroxylase to a heterologous plant host may be readily achieved in a variety of species.

Once the nucleic acid sequence is obtained, the transcription, or transcription and translation (expression), of the plant fatty acyl hydroxylases in a host cell is desired to produce a ready source of the enzyme and/or modify the composition of fatty acids found therein in the form of free fatty acids, esters (particularly esterified to glycerolipids or as components of wax esters), estolides, or ethers. Other useful applications may be found when the host cell is a plant host cell, *in vitro* and *in vivo*. For

example, by increasing the amount of an kappa hydroxylase available to the plant, an increased percentage of ricinoleate or lesqueroleate (14-hydroxy-11-eicosenoic acid) may be provided.

5

#### Kappa hydroxylase

By this invention, a mechanism for the biosynthesis of ricinoleic acid in plants is demonstrated. Namely, that a specific plant kappa hydroxylase having preferential activity toward fatty acyl substrates is involved in the accumulation of hydroxylated fatty acids in at least some plant species. The use of the terms ricinoleate or ricinoleic acid (or lesqueroleate or lesquerolic acid, densipoleate etc.) is intended to include the free acids, the ACP and CoA esters, the salts of these acids, the glycerolipid esters (particularly the triacylglycerol esters), the wax esters, the estolides and the ether derivatives of these acids.

The determination that plant fatty acyl hydroxylases are active in the *in vivo* production of hydroxylated fatty acids suggests several possibilities for plant enzyme sources. In fact, hydroxylated fatty acids are found in some natural plant species in abundance. For example, three





insights for protein modeling or other  
modifications to create synthetic hydroxylases as  
discussed above. For example, on the basis of  
information gained from structural comparisons of  
the  $\Delta 12$  desaturases and the kappa hydroxylase, we  
envision making genetic modifications in the  
structural genes for  $\Delta 12$  desaturases that convert  
these desaturases to kappa-hydroxylases. We also  
envision making changes in  $\Delta 15$  hydroxylases that  
convert these to hydroxylases with comparable  
substrate specificity to the desaturases (e.g.,  
conversion of  $18:2^{\Delta 9,12}$  to  $15OH-18:2^{\Delta 9,12}$ . Since  
the difference between a hydroxylase and a  
desaturases concerns the disposition of one  
proton, we envision that by systematically  
changing the charged groups in the region of the  
enzyme near the active site, we can effect this  
change.

Especially of interest are fatty acyl  
hydroxylases which demonstrate activity toward  
fatty acyl substrates other than oleate, or which  
introduce the hydroxyl group at a location other  
than the C12 carbon. As described above, other  
plant sources may also provide sources for these  
enzymes through the use of protein purification,  
nucleic acid probes, antibody preparations,  
protein modeling, or sequence comparisons, for  
example, and of special interest are the

respective amino acid and nucleic acid sequences  
corresponding to such plant fatty acyl  
hydroxylases. Also as previously described, once  
a nucleic acid sequence is obtained for the given  
5 plant hydroxylase, further plant sequences may be  
compared and/or probed to obtain homologously  
related DNA sequences thereto and so on.

#### Genetic Engineering Applications

10 As is well known in the art, once a cDNA  
clone encoding a plant kappa hydroxylase is  
obtained, it may be used to obtain its  
corresponding genomic nucleic acid sequences  
15 thereto.

The nucleic acid sequences which encode plant  
kappa hydroxylases may be used in various  
constructs, for example, as probes to obtain  
further sequences from the same or other species.  
20 Alternatively, these sequences may be used in  
conjunction with appropriate regulatory sequences  
to increase levels of the respective hydroxylase  
of interest in a host cell for the production of  
hydroxylated fatty acids or study of the enzyme in  
25 vitro or in vivo or to decrease or increase levels  
of the respective hydroxylase of interest for some  
applications when the host cell is a plant entity,  
including plant cells, plant parts (including but

not limited to seeds, cuttings or tissues) and plants.

5 A nucleic acid sequence encoding a plant kappa hydroxylase of this invention may include genomic, cDNA or mRNA sequence. By "encoding" is meant that the sequence corresponds to a particular amino acid sequence either in a sense or anti-sense orientation. By "recombinant" is meant that the sequence contains a genetically  
10 engineered modification through manipulation via mutagenesis, restriction enzymes, and the like. A cDNA sequence may or may not encode pre-processing sequences, such as transit or signal peptide sequences. Transit or signal peptide sequences  
15 facilitate the delivery of the protein to a given organelle and are frequently cleaved from the polypeptide upon entry into the organelle, releasing the "mature" sequence. The use of the precursor DNA sequence is preferred in plant cell  
20 expression cassettes.

Furthermore, as discussed above the complete genomic sequence of the plant kappa hydroxylase may be obtained by the screening of a genomic library with a probe, such as a cDNA probe, and  
25 isolating those sequences which regulate expression in seed tissue. In this manner, the transcription and translation initiation regions, introns, and/or transcript termination regions of

the plant kappa hydroxylase may be obtained for use in a variety of DNA constructs, with or without the kappa hydroxylase structural gene.

Thus, nucleic acid sequences corresponding to the  
 5 plant kappa hydroxylase of this invention may also provide signal sequences useful to direct transport into an organelle 5' upstream non-coding regulatory regions (promoters) having useful tissue and timing profiles, 3' downstream non-  
 10 coding regulatory region useful as transcriptional and translational regulatory regions and may lend insight into other features of the gene.

Once the desired plant kappa hydroxylase nucleic acid sequence is obtained, it may be  
 15 manipulated in a variety of ways. Where the sequence involves non-coding flanking regions, the flanking regions may be subjected to resection, mutagenesis, etc. Thus, transitions, transversions, deletions, and insertions may be  
 20 performed on the naturally occurring sequence. In addition, all or part of the sequence may be synthesized. In the structural gene, one or more codons may be modified to provide for a modified amino acid sequence, or one or more codon  
 25 mutations may be introduced to provide for a convenient restriction site or other purpose involved with construction or expression. The structural gene may be further modified by

employing synthetic adapters, linkers to introduce one or more convenient restriction sites, or the like.

5 The nucleic acid or amino acid sequences encoding a plant kappa hydroxylase of this invention may be combined with other non-native, or "heterologous", sequences in a variety of ways. By "heterologous" sequences is meant any sequence which is not naturally found joined to the plant  
10 kappa hydroxylase, including, for example, combination of nucleic acid sequences from the same plant which are not naturally found joined together.

15 The DNA sequence encoding a plant kappa hydroxylase of this invention may be employed in conjunction with all or part of the gene sequences normally associated with the kappa hydroxylase. In its component parts, a DNA sequence encoding kappa hydroxylase is combined in a DNA construct  
20 having, in the 5' to 3' direction of transcription, a transcription initiation control region capable of promoting transcription and translation in a host cell, the DNA sequence encoding plant kappa hydroxylase and a  
25 transcription and translation termination region.

Potential host cells include both prokaryotic and eukaryotic cells. A host cell may be unicellular or found in a multicellular

differentiated or undifferentiated organism depending upon the intended use. Cells of this invention may be distinguished by having a plant kappa hydroxylase foreign to the wild-type cell present therein, for example, by having a recombinant nucleic acid construct encoding a plant kappa hydroxylase therein.

Depending upon the host, the regulatory regions will vary, including regions from viral, plasmid or chromosomal genes, or the like. For expression in prokaryotic or eukaryotic microorganisms, particularly unicellular hosts, a wide variety of constitutive or regulatable promoters may be employed. Expression in a microorganism can provide a ready source of the plant enzyme. Among transcriptional initiation regions which have been described are regions from bacterial and yeast hosts, such as *E. coli*, *B. subtilis*, *Saccharomyces cerevisiae*, including genes such as beta-galactosidase, T7 polymerase, tryptophan E and the like.

For the most part, the constructs will involve regulatory regions functional in plants which provide for modified production of plant kappa hydroxylase with resulting modification of the fatty acid composition. The open reading frame, coding for the plant kappa hydroxylase or functional fragment thereof will be joined at its

5' end to a transcription initiation regulatory region such as the wild-type sequence naturally found 5' upstream to the kappa hydroxylase structural gene. Numerous other transcription initiation regions are available which provide for a wide variety of constitutive or regulatable, e.g., inducible, transcription of the structural gene functions.

Among transcriptional initiation regions used for plants are such regions associated with the structural genes such as for nopaline and mannopine synthases, or with napin, soybean  $\beta$ -conglycinin, oleosin, 12S storage protein, the cauliflower mosaic virus 35S promoters and the like. The transcription/ translation initiation regions corresponding to such structural genes are found immediately 5' upstream to the respective start codons.

In embodiments wherein the expression of the kappa hydroxylase protein is desired in a plant host, the use of all or part of the complete plant kappa hydroxylase gene is desired; namely all or part of the 5' upstream non-coding regions (promoter) together with the structural gene sequence and 3' downstream non-coding regions may be employed. If a different promoter is desired, such as a promoter native to the plant host of interest or a modified promoter, i.e., having

transcription initiation regions derived from one  
gene source and translation initiation regions  
derived from a different gene source, including  
the sequence encoding the plant kappa hydroxylase  
of interest, or enhanced promoters, such as double  
35S CaMV promoters, the sequences may be joined  
together using standard techniques.

For such applications when 5' upstream non-  
coding regions are obtained from other genes  
regulated during seed maturation, those  
preferentially expressed in plant embryo tissue,  
such as transcription initiation control regions  
from the *B. napus* napin gene, or the Arabidopsis  
12S storage protein, or soybean  $\beta$ -conglycinin  
(Bray et al., 1987), or the *L. fendleri* kappa  
hydroxylase promoter described herein are desired.  
Transcription initiation regions which are  
preferentially expressed in seed tissue, i.e.,  
which are undetectable in other plant parts, are  
considered desirable for fatty acid modifications  
in order to minimize any disruptive or adverse  
effects of the gene product.

Regulatory transcript termination regions may  
be provided in DNA constructs of this invention as  
well. Transcript termination regions may be  
provided by the DNA sequence encoding the plant  
kappa hydroxylase or a convenient transcription  
termination region derived from a different gene



source, for example, the transcript termination region which is naturally associated with the transcript initiation region. Where the transcript termination region is from a different gene source, it will contain at least about 0.5 kb, preferably about 1-3 kb of sequence 3' to the structural gene from which the termination region is derived.

Plant expression or transcription constructs having a plant kappa hydroxylase as the DNA sequence of interest for increased or decreased expression thereof may be employed with a wide variety of plant life, particularly, plant life involved in the production of vegetable oils for edible and industrial uses. Most especially preferred are temperate oilseed crops. Plants of interest include, but are not limited to rapeseed (Canola and high erucic acid varieties), Crambe, *Brassica juncea*, *Brassica nigra*, meadowfoam, flax, sunflower, safflower, cotton, *Cuphea*, soybean, peanut, coconut and oil palms and corn. An important criterion in the selection of suitable plants for the introduction on the kappa hydroxylase is the presence in the host plant of a suitable substrate for the hydroxylase. Thus, for example, production of ricinoleic acid will be best accomplished in plants that normally have high levels of oleic acid in seed lipids.

Similarly, production of lesquerolic acid will best be accomplished in plants that have high levels of icosenoic acid in seed lipids.

Depending on the method for introducing the recombinant constructs into the host cell, other DNA sequences may be required. Importantly, this invention is applicable to dicotyledons and monocotyledons species alike and will be readily applicable to new and/or improved transformation and regulation techniques. The method of transformation is not critical to the current invention; various methods of plant transformation are currently available. As newer methods are available to transform crops, they may be directly applied hereunder. For example, many plant species naturally susceptible to *Agrobacterium* infection may be successfully transformed via tripartite or binary vector methods of *Agrobacterium* mediated transformation. In addition, techniques of microinjection, DNA particle bombardment, electroporation have been developed which allow for the transformation of various monocot and dicot plant species.

In developing the DNA construct, the various components of the construct or fragments thereof will normally be inserted into a convenient cloning vector which is capable of replication in a bacterial host, e.g., *E. coli*. Numerous vectors

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exist that have been described in the literature. After each cloning, the plasmid may be isolated and subjected to further manipulation, such as restriction, insertion of new fragments, ligation, deletion, insertion, resection, etc., so as to tailor the components of the desired sequence. Once the construct has been completed, it may then be transferred to an appropriate vector for further manipulation in accordance with the manner of transformation of the host cell.

Normally, included with the DNA construct will be a structural gene having the necessary regulatory regions for expression in a host and providing for selection of transformant cells. The gene may provide for resistance to a cytotoxic agent, e.g., antibiotic, heavy metal, toxin, etc., complementation providing prototrophy to an auxotrophic host, viral immunity or the like. Depending upon the number of different host species the expression construct or components thereof are introduced, one or more markers may be employed, where different conditions for selection are used for the different hosts.

It is noted that the degeneracy of the DNA code provides that some codon substitutions are permissible of DNA sequences without any corresponding modification of the amino acid sequence.

As mentioned above, the manner in which the DNA construct is introduced into the plant host is not critical to this invention. Any method which provides for efficient transformation may be employed. Various methods for plant cell transformation include the use of Ti- or Ri-plasmids, microinjection, electroporation, infiltration, imbibition, DNA particle bombardment, liposome fusion, DNA bombardment or the like. In many instances, it will be desirable to have the construct bordered on one or both sides of the T-DNA, particularly having the left and right borders, more particularly the right border. This is particularly useful when the construct uses *A. tumefaciens* or *A. rhizogenes* as a mode for transformation, although the T-DNA borders may find use with other modes of transformation.

Where *Agrobacterium* is used for plant cell transformation, a vector may be used which may be introduced into the *Agrobacterium* host for homologous recombination with T-DNA or the Ti- or Ri-plasmid present in the *Agrobacterium* host. The Ti- or Ri-plasmid containing the T-DNA for recombination may be armed (capable of causing gall formation) or disarmed (incapable of causing gall), the latter being permissible, so long as the *vir* genes are present in the transformed

*Agrobacterium* host. The armed plasmid can give a mixture of normal plant cells and gall.

In some instances where *Agrobacterium* is used as the vehicle for transforming plant cells, the expression construct bordered by the T-DNA border(s) will be inserted into a broad host spectrum vector, there being broad host spectrum vectors described in the literature. Commonly used is pRK2 or derivatives thereof. See, for example, Ditta et al., (1980), which is incorporated herein by reference. Included with the expression construct and the T-DNA will be one or more markers, which allow for selection of transformed *Agrobacterium* and transformed plant cells. A number of markers have been developed for use with plant cells, such as resistance to kanamycin, the aminoglycoside G418, hygromycin, or the like. The particular marker employed is not essential to this invention, one or another marker being preferred depending on the particular host and the manner of construction.

For transformation of plant cells using *Agrobacterium*, explants may be combined and incubated with the transformed *Agrobacterium* for sufficient time for transformation, the bacteria killed, and the plant cells cultured in an appropriate selective medium. Once callus forms, shoot formation can be encouraged by employing the

appropriate plant hormones in accordance with  
known methods and the shoots transferred to  
rooting medium for regeneration of plants. The  
plants may then be grown to seed and the seed used  
5 to establish repetitive generations and for  
isolation of vegetable oils.

Using Hydroxylase Genes to Alter the Activity of  
Fatty Acid Desaturases

10 A widely acknowledged goal of current efforts  
to improve the nutritional quality of edible plant  
oils, or to facilitate industrial applications of  
plant oils, is to alter the level of desaturation  
of plant storage lipids (Topfer et al., 1995). In  
15 particular, in many crop species it is considered  
desirable to reduce the level of polyunsaturation  
of storage lipids and to increase the level of  
oleic acid. The precise amount of the various  
fatty acids in a particular plant oil varies with  
20 the intended application. Thus, it is desirable  
to have a robust method that will permit genetic  
manipulation of the level of unsaturation to any  
desired level.

Substantial progress has recently been made  
25 in the isolation of genes encoding plant fatty  
acid desaturases (reviewed in Topfer et al.,  
1995). These genes have been introduced into  
various plant species and used to alter the level

of fatty acid unsaturation in one of three ways.

First, the genes can be placed under

transcriptional control of a strong promoter so

that the amount of the corresponding enzyme is

5 increased. In some cases this leads to an

increase in the amount of the fatty acid that is

the product of the reaction catalyzed by the

enzyme. For example, Arondel et al. (1992)

increased the amount of linolenic acid (18:3) in

10 tissues of transgenic Arabidopsis plants by

placing the endoplasmic reticulum-localized fad3

gene under transcriptional control of the strong

constitutive cauliflower mosaic virus 35S

promoter.

15 A second method of using cloned genes to

alter the level of fatty acid unsaturation is to

cause transcription of all or part of a gene in

transgenic tissues so that the transcripts have an

antisense orientation relative to the normal mode

20 of transcription. This has been used by a number

of laboratories to reduce the level of expression

of one or more desaturase genes that have

significant nucleotide sequence homology to the

gene used in the construction of the antisense

25 gene (reviewed in Topfer et al.). For instance,

antisense repression of the oleate  $\Delta 12$ -desaturase

in transgenic rapeseed resulted in a strong

increase in oleic acid content (cf., Topfer et

al., 1995).

A third method for using cloned genes to alter fatty acid desaturation is to exploit the phenomenon of cosuppression or "gene-silencing"

5 (Matzke et al., 1995). Although the mechanisms responsible for gene silencing are not known in any detail, it has frequently been observed that in transgenic plants, expression of an introduced gene leads to inactivation of homologous  
10 endogenous genes.

For example, high-level sense expression of the Arabidopsis fad8 gene, which encodes a chloroplast-localized  $\Delta 15$ -desaturase, in transgenic Arabidopsis plants caused suppression  
15 of the endogenous copy of the fad8 gene and the homologous fad7 gene (which encodes an isozyme of the fad8 gene) (Gibson et al., 1994). The fad7 and fad8 genes are only 76% identical at the nucleotide level. At the time of publication,  
20 this example represented the most divergent pair of plant genes for which cosuppression had been observed.

In view of previous evidence concerning the relatively high level of nucleotide sequence  
25 homology required to obtain cosuppression, it is not obvious to one skilled in the art that sense expression in transgenic plants of the castor fatty acyl hydroxylase of this invention would



significantly alter the amount of unsaturation of storage lipids.

However, we have established that fatty acyl hydroxylase genes can be used for this purpose as taught in Example 4 of this invention. Of particular importance, this invention teaches the use of fatty acyl hydroxylase genes to increase the proportion of oleic acid in transgenic plant tissues. The mechanism by which expression of the gene exerts this effect is not known but may be due to one of several possibilities which are elaborated upon in Example 4.

The invention now being generally described, it will be more readily understood by reference to the following examples which are included for purposes of illustration only and are not intended to limit the present invention.

#### EXAMPLES

In the experimental disclosure which follows, all temperatures are given in degrees centigrade ( $^{\circ}$ ), weights are given in grams (g), milligram (mg) or micrograms ( $\mu$ g), concentrations are given as molar (M), millimolar (mM) or micromolar ( $\mu$ M) and all volumes are given in liters (l), microliters ( $\mu$ l) or milliliters (ml), unless otherwise indicated.

EXAMPLE 1 - PRODUCTION OF NOVEL HYDROXYLATED FATTY  
ACIDS IN ARABIDOPSIS THALIANA

Overview

5 The kappa hydroxylase encoded by the  
previously described fah12 gene from Castor (U.S.  
Patent application 08/320,982) was used to produce  
ricinoleic acid, lesquerolic acid, densipolic acid  
and auricolic acid in transgenic Arabidopsis  
plants. This example specifically discloses the  
10 method taught in Example 2 of U.S. Patent  
application 08/320,982.

Production of transgenic plants

15 A variety of methods have been developed to  
insert a DNA sequence of interest into the genome  
of a plant host to obtain the transcription and  
translation of the sequence to effect phenotypic  
changes. The following methods represent only one  
of many equivalent means of producing transgenic  
20 plants and causing expression of the hydroxylase  
gene.

Arabidopsis plants were transformed, by  
Agrobacterium-mediated transformation, with the  
kappa hydroxylase encoded by the Castor fah12 gene  
25 on binary Ti plasmid pB6. This plasmid was  
previously used to transform *Nicotiana tabacum* for  
the production of ricinoleic acid (U.S. Patent  
application 08/320,982).

Inoculums of *Agrobacterium tumefaciens* strain GV3101 containing binary Ti plasmid pB6 were plated on L-broth plates containing 50 µg/ml kanamycin and incubated for 2 days at 30°C.

5 Single colonies were used to inoculate large liquid cultures (L-broth medium with 50 mg/l rifampicin, 110 mg/l gentamycin and 200 mg/l kanamycin) to be used for the transformation of *Arabidopsis* plants.

10 *Arabidopsis* plants were transformed by the *in planta* transformation procedure essentially as described by Bechtold et al., (1993). Cells of *A. tumefaciens* GV3101(pB6) were harvested from liquid cultures by centrifugation, then resuspended in  
15 infiltration medium at OD<sub>600</sub> = 0.8 (Infiltration medium was Murashige and Skoog macro and micronutrient medium (Sigma Chemical Co., St. Louis, MO) containing 10 mg/l 6-benzylaminopurine and 5% glucose). Batches of 12-15 plants were  
20 grown for 3 to 4 weeks in natural light at a mean daily temperature of approximately 25°C in 3.5 inch pots containing soil. The intact plants were immersed in the bacterial suspension then transferred to a vacuum chamber and placed under  
25 600 mm of vacuum produced by a laboratory vacuum pump until tissues appeared uniformly water-soaked (approximately 10 min). The plants were grown at 25°C under continuous light (100 µmol m<sup>-2</sup> s<sup>-1</sup>

irradiation in the 400 to 700 nm range) for four weeks. The seeds obtained from all the plants in a pot were harvested as one batch. The seeds were sterilized by sequential treatment for 2 min with ethanol followed by 10 min in a mixture of household bleach (Chlorox), water and Tween-80 (50%, 50%, 0.05%) then rinsed thoroughly with sterile water. The seeds were plated at high density (2000 to 4000 per plate) onto agar-solidified medium in 100 mm petri plates containing 1/2 X Murashige and Skoog salts medium enriched with B5 vitamins (Sigma Chemical Co., St. Louis, MO) and containing kanamycin at 50 mg/l. After incubation for 48 h at 4°C to stimulate germination, seedlings were grown for a period of seven days until transformants were clearly identifiable as healthy green seedlings against a background of chlorotic kanamycin-sensitive seedlings. The transformants were transferred to soil for two weeks before leaf tissue could be used for DNA and lipid analysis. More than 20 transformants were obtained.

DNA was extracted from young leaves from transformants to verify the presence of an intact *fah12* gene. The presence of the transgene in a number of the putative transgenic lines was verified by using the polymerase chain reaction to amplify the insert from pB6. The primers used

were HF2 = GCTCTTTTGTGCGCTCATTC (SEQ ID NO:12) and  
HR1 = CGGTACCAGAAAACGCCTTG (SEQ ID NO:13), which  
were designed to allow the amplification of a 700  
bp fragment. Approximately 100 ng of genomic DNA  
5 was added to a solution containing 25 pmol of each  
primer, 1.5 U Taq polymerase (Boehringer Mannheim),  
200 uM of dNTPs, 50 mM KCl, 10 mM Tris.Cl (pH 9),  
0.1% (v/v) Triton X-100, 1.5 mM MgCl<sub>2</sub>, 3% (v/v)  
formamide, to a final volume of 50 µl.

10 Amplifications conditions were: 4 min denaturation  
step at 94°C, followed by 30 cycles of 92°C for 1  
min, 55°C for 1 min, 72°C for 2 min. A final  
extension step closed the program at 72°C for 5  
min. Transformants could be positively identified  
15 after visualization of a characteristic 1 kb  
amplified fragment on an ethidium bromide stained  
agarose gel. All transgenic lines tested gave a  
PCR product of a size consistent with the expected  
genotype, confirming that the lines were, indeed,  
20 transgenic. All further experiments were done  
with three representative transgenic lines of the  
wild type designated as 1-3, 4D, 7-4 and one  
transgenic line of the fad2 mutant line JB12. The  
transgenic JB12 line was included in order to test  
25 whether the increased accumulation of oleic acid  
in this mutant would have an effect on the amount  
of ricinoleic acid that accumulated in the  
transgenic plants.

### Analysis of transgenic plants

Leaves and seeds from fah12 transgenic Arabidopsis plants were analyzed for the presence of hydroxylated fatty acids using gas chromatography. Lipids were extracted from 100-200 mg leaf tissue or 50 seeds. Fatty acid methyl esters (FAMES) were prepared by placing tissue in 1.5 ml of 1.0 M methanolic HCl (Supelco Co.) in a 13 x 100 mm glass screw-cap tube capped with a teflon-lined cap and heated to 80°C for 2 hours. Upon cooling, 1 ml petroleum ether was added and the FAMES removed by aspirating off the ether phase which was then dried under a nitrogen stream in a glass tube. One hundred  $\mu$ l of N,O-bis(Trimethylsilyl) trifluoroacetamide (BSTFA; Pierce Chemical Co) and 200  $\mu$ l acetonitrile was added to derivatize the hydroxyl groups. The reaction was carried out at 70°C for 15 min. The products were dried under nitrogen, redissolved in 100  $\mu$ l chloroform and transferred to a gas chromatograph vial. Two  $\mu$ l of each sample were analyzed on a SP2340 fused silica capillary column (30 m, 0.75 mm ID, 0.20 mm film, Supelco), using a Hewlett-Packard 5890 II series Gas Chromatograph. The samples were not split, the temperature program was 195°C for 18 min, increased to 230°C at 25°C/min, held at 230°C for 5 min then down to 195°C at 25°C/min., and flame ionization detectors

were used.

The chromatographic elution time of methyl esters and O-TMS derivatives of ricinoleic acid, lesquerolic acid and auricolic acid was

5 established by GC-MS of lipid samples from seeds of *L. fendleri* and comparison to published chromatograms of fatty acids from this species (Carlson et al., 1990). A O-

10 TMS-methyl-ricinoleate standard was prepared from ricinoleic acid obtained from Sigma Chemical Co (St, Louis, MO). O-TMS-methyl-lesqueroleate and O-TMS-methyl-auricoleate standards were prepared from triacylglycerols purified from seeds of *L. fendleri*. The mass spectrum of O-

15 TMS-methyl-ricinoleate, O-TMS-methyl-densipoleate, O-TMS-methyl-lesqueroleate, and O-TMS-methyl-auricoleate are shown in Figures 1A-D, respectively. The structures of the characteristic ions produced during mass  
20 spectrometry of these derivatives are shown in Figure 2.

Lipid extracted from transgenic tissues were analyzed by gas chromatography and mass spectrometry for the presence of hydroxylated  
25 fatty acids. As a matter of reference, the average fatty acid composition of leaves in *Arabidopsis* wild type and *fad2* mutant lines was reported by Miquel and Browse (1992). Gas

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chromatograms of methylated and silylated fatty acids from seeds of wild type and a fah12 transgenic wild type plant are shown in Figures 3A and 3B, respectively. The profiles are very similar except for the presence of three small but distinct peaks at 14.3, 15.9 and 18.9 minutes. A very small peak at 20.15 min was also evident. The elution time of the peaks at 14.3 and 18.9 min corresponded precisely to that of comparably prepared ricinoleic and lesquerolic standards, respectively. No significant differences were observed in lipid extracts from leaves or roots of the wild type and the fah12 transgenic wild type lines (Table 1). Thus, in spite of the fact that the fah12 gene is expressed throughout the plant, we observed effects on fatty acid composition only in seed tissue. A similar observation was described previously for transgenic fah12 tobacco in patent application No. 08/320,982.



Table 1. Fatty acid composition of lipids from transgenic and wild type Arabidopsis. The values are the means obtained from analysis of samples from three independent transgenic lines, or three independent samples of wild type and fad2 lines.

Fatty acid	Seed				Leaf		Root	
	WT	FAH12/WT	FAH12/fad2	JB12	WT	FAH12/WT	WT	FAH12/WT
16:0	8.5	8.2	6.4	6.1	16.5	17.5	23.9	24.9
16:3	0	0	0	0	10.1	9.8	0	0
18:0	3.2	3.5	2.9	3.5	1.3	1.2	2.0	1.9
18:1	15.4	26.3	43.4	47.8	2.4	3.4	5.4	3.2
18:2	27.0	21.4	10.2	7.2	15.1	14.0	32.2	29.4
18:3	22.0	16.6	-	9.7	36.7	36.0	26.7	30.6
20:1	14.0	14.3	-	13.1	0	0	0	0
22:1	2.0	1.0	0.5	0.5	0	0	0	0
24:1	2.5	1.7	2.0	1.6	0	0	0	0
18:1-OH	0	0.4	0.3	0	0	0	0	0
18:2-OH	0	0.4	0.3	0	0	0	0	0
20:1-OH	0	0.2	0.1	0	0	0	0	0
20:2-OH	0	0.1	0.1	0	0	0	0	0

In order to confirm that the observed new peaks in the transgenic lines corresponded to derivatives of ricinoleic, lesquerolic, densipolic and auricolic acids, mass spectrometry was used.

5 The fatty acid derivatives were resolved by gas chromatography as described above except that a Hewlett-Packard 5971 series mass selective detector was used in place of the flame ionization detector used in the previous experiment. The  
10 spectra of the four new peaks in Figure 3B (peak numbers 10, 11, 12 and 13) are shown in Figures 4A-D, respectively. Comparison of the spectrum obtained for the standards with that obtained for the four peaks from the transgenic lines confirms  
15 the identity of the four new peaks. On the basis of the three characteristic peaks at M/Z 187, 270 and 299, peak 10 is unambiguously identified as O-TMS-methylricinoleate. On the basis of the three characteristic peaks at M/Z 185, 270 and 299, peak  
20 11 is unambiguously identified as O-TMS-methyldensipoleate. On the basis of the three characteristic peaks at M/Z 187, 298 and 327, peak 12 is unambiguously identified as O-TS-methylllesqueroleate. On the basis of the three  
25 characteristic peaks at M/Z 185, 298 and 327, peak 13 is unambiguously identified as O-TMS-methylauricoleate.

These results unequivocally demonstrate the

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identity of the fah12 cDNA as encoding a  
hydroxylase that hydroxylates both oleic acid to  
produce ricinoleic acid and also hydroxylates  
icosenoic acid to produce lesquerolic acid. These  
5 results also provide additional evidence that the  
hydroxylase can be functionally expressed in a  
heterologous plant species in such a way that the  
enzyme is catalytically functional. These results  
also demonstrate that expression of this  
10 hydroxylase gene leads to accumulation of  
ricinoleic, lesquerolic, densipolic and auricolic  
acids in a plant species that does not normally  
accumulate hydroxylated fatty acids in extractable  
lipids.

15 The presence of lesquerolic acid in the  
transgenic plants was anticipated in the previous  
patent application (No. 08/320,982) based on the  
biochemical evidence suggesting broad substrate  
specificity of the kappa hydroxylase. By  
20 contrast, the accumulation of densipolic and  
auricolic acids was less predictable. Since  
Arabidopsis does not normally contain significant  
quantities of the non-hydroxylated precursors of  
these fatty acids which could serve as substrates  
25 for the hydroxylase, it appears that one or more  
of the three n-3 fatty acid desaturases known in  
Arabidopsis (eg., fad3, fad7, fad8; reviewed in  
Gibson et al., 1995) are capable of desaturating



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accomplish this by the use of strong, seed-specific promoters such as the *B. napus* napin promoter or the native promoters of the castor *fah12* gene or the corresponding hydroxylase gene from *L. fendleri* will be obvious to one skilled in the art. Additional improvements are envisioned to involve modification of the enzymes which cleave hydroxylated fatty acids from phosphatidylcholine, reduction in the activities of enzymes which degrade hydroxylated fatty acids and replacement of acyltransferases which transfer hydroxylated fatty acids to the sn-1, sn-2 and sn-3 positions of glycerolipids. Although genes for these enzymes have not been described in the scientific literature, their utility in improving the level of production of hydroxylated fatty acids can be readily envisioned based on the results of biochemical investigations of ricinoleate synthesis.

Although *Arabidopsis* is not an economically important plant species, it is widely accepted by plant biologists as a model for higher plants. Therefore, the inclusion of this example is intended to demonstrate the general utility of the invention described here and in the previous application (No. 08/320,982) to the modification of oil composition in higher plants. One advantage of studying the expression of this novel

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gene in *Arabidopsis* is the existence in this system of a large body of knowledge on lipid metabolism, as well as the availability of a collection of mutants which can be used to provide  
5 useful information on the biochemistry of fatty acid hydroxylation in plant species. Another advantage is the ease of transposing any of the information obtained on metabolism of ricinoleate in *Arabidopsis* to closely related species such as  
10 the crop plants *Brassica napus*, *Brassica juncea* or *Crambe abyssinica* in order to mass produce ricinoleate, lesqueroleate or other hydroxylated fatty acids for industrial use. The kappa hydroxylase is useful for the production of  
15 ricinoleate or lesqueroleate in any plant species that accumulates significant levels of the precursors, oleic acid and icosanoic acid. Of particular interest are genetically modified varieties that accumulate high levels of oleic  
20 acid. Such varieties are currently available for sunflower and Canola. Production of lesquerolic acid and related hydroxy fatty acids can be achieved in species that accumulate high levels of icosanoic acid or other long chain monoenoic  
25 acids. Such plants may in the future be produced by genetic engineering of plants that do not normally make such precursors. Thus, we envision that the use of the kappa hydroxylase is of

general utility.

EXAMPLE 2. ISOLATION OF LESQUERELLA KAPPA  
HYDROXYLASE GENOMIC CLONE

5

Overview

Regions of nucleotide sequence that were conserved in both the Castor kappa hydroxylase and the Arabidopsis fad2  $\Delta 12$  fatty acid desaturase were used to design oligonucleotide primers. These were used with genomic DNA from *Lesquerella fendleri* to amplify fragments of several homologous genes. These amplified fragments were then used as hybridization probes to identify full length genomic clones from a genomic library of *L. fendleri*.

Hydroxylated fatty acids are specific to the seed tissue of *Lesquerella* sp., and are not found to any appreciable extent in vegetative tissues. One of the two genes identified by this method was expressed in both leaves and developing seeds and is therefore thought to correspond to the  $\Delta 12$  fatty acid desaturase. The other gene was expressed at high levels in developing seeds but was not expressed or was expressed at very low levels in leaves and is the kappa hydroxylase from this species. The identity of the gene as a fatty acyl hydroxylase was established by functional

expression of the gene in yeast.

The identity of this gene will also be established by introducing the gene into transgenic Arabidopsis plants and showing that it causes the accumulation of ricinoleic acid, lesquerolic acid, densipolic acid and auricolic acid in seed lipids. The promoter of this gene is also of utility because it is able to direct expression of a gene specifically in developing seeds at a time when storage lipids are accumulating. This promoter is, therefore, of great utility for many applications in the genetic engineering of seeds, particularly in members of the Brassicacea.

The various steps involved in this process are described in detail below. Unless otherwise indicated, routine methods for manipulating nucleic acids, bacteria and phage were as described by Sambrook et al. (1989).

#### Isolation of a fragment of the Lesquerella kappa hydroxylase gene

Oligonucleotide primers for the amplification of the *L. fendleri* kappa hydroxylase were designed by choosing regions of high deduced amino acid sequence homology between the Castor kappa hydroxylase and the Arabidopsis  $\Delta 12$  desaturase (fad2). Because most amino acids are encoded by



several different codons, these oligonucleotides were designed to encode all possible codons that could encode the corresponding amino acids.

The sequence of these mixed oligonucleotides was:

Oligo 1: TAYWSNCAYMGNMGNCA YCA (SEQ ID NO:14)

Oligo 2: RTGRTGNGCNACRTGNGTRTC (SEQ ID NO:15)

(Where: Y = C+T; W = A+T; S = G+C; N = A+G+C+T; M = A+C; R = A+G)

These oligonucleotides were used to amplify a fragment of DNA from *L. fenderi* genomic DNA by the polymerase chain reaction (PCR) using the following conditions: Approximately 100 ng of genomic DNA was added to a solution containing 25 pmol of each primer, 1.5 U Taq polymerase (Boehringer Mannheim), 200 uM of dNTPs, 50 mM KCl, 10 mM Tris.Cl (pH 9), 0.1% (v/v) Triton X-100, 1.5 mM MgCl<sub>2</sub>, 3% (v/v) formamide, to a final volume of 50 µl. Amplifications conditions were: 4 min denaturation step at 94°C, followed by 30 cycles of 92°C for 1 min, 55°C for 1 min, 72°C for 2 min. A final extension step closed the program at 72°C for 5 min.

PCR products of approximately 540 bp were observed following electrophoretic separation of the products of the PCR reaction in agarose gels. Two of these fragments were cloned into pBluescript (Stratagene) to give rise to plasmids

pLesq2 and pLesq3. The sequence of the inserts in these two plasmids was determined by the chain termination method. The sequence of the insert in pLesq2 is presented as Figure 5 (SEQ ID NO:1) and the sequence of the insert in pLesq3 is presented as Figure 6 (SEQ ID NO:2). The high degree of sequence identity between the two clones indicated that they were both potential candidates to be either a  $\Delta 12$  desaturase or a gamma hydroxylase.

#### Northern analysis

In *L. fendleri*, hydroxylated fatty acids are found in large amounts in seed oils but are not found in appreciable amounts in leaves. An important criterion in discriminating between a fatty acyl desaturase and kappa hydroxylase is that the kappa hydroxylase gene is expected to be expressed more highly in tissues which have high level of hydroxylated fatty acids than in other tissues. In contrast, all plant tissues should contain mRNA for an  $\omega 6$  fatty acyl desaturase since diunsaturated fatty acids are found in the lipids of all tissues in most or all plants.

Therefore, it was of great interest to determine whether the gene corresponding to pLesq2 was also expressed only in seeds, or is also expressed in other tissues. This question was addressed by testing for hybridization of pLesq2

to RNA purified from developing seeds and from leaves.

Total RNA was purified from developing seeds and young leaves of *L. fendleri* using an Rneasy RNA extraction kit (Qiagen), according to the manufacturer's instructions. RNA concentrations were quantified by UV spectrophotometry at  $\lambda=260$  and 280 nm. In order to ensure even loading of the gel to be used for Northern blotting, RNA concentrations were further adjusted after recording fluorescence under UV light of RNA samples stained with ethidium bromide and run on a test denaturing gel.

Total RNA prepared as described above from leaves and developing seeds was electrophoresed through an agarose gel containing formaldehyde (Iba et al., 1993). An equal quantity (10  $\mu$ g) of RNA was loaded in both lanes, and RNA standards (0.16-1.77 kb ladder, Gibco-BRL) were loaded in a third lane. Following electrophoresis, RNA was transferred from the gel to a nylon membrane (Hybond N+, Amersham) and fixed to the filter by exposure to UV light.

A  $^{32}$ P-labelled probe was prepared from insert DNA of clone pLesq2 by random priming and hybridized to the membrane overnight at 52°C, after it had been prehybridized for 2 h. The prehybridization solution contained 5X SSC, 10X

Denhardt's solution, 0.1% SDS, 0.1M KPO<sub>4</sub> pH 6.8,  
100 µg/ml salmon sperm DNA. The hybridization  
solution had the same basic composition, but no  
SDS, and it contained 10% dextran sulfate and 30%  
5 formamide. The blot was washed once in 2X SSC,  
0.5% SDS at 65°C then in 1X SSC at the same  
temperature.

Brief (30 min) exposure of the blot to X-ray  
film revealed that the probe pLesq2 hybridized to  
10 a single band only in the seed RNA lane (Figure  
7). The blot was re-probed with the insert from  
pLesq3 gene, which gave bands of similar intensity  
in the seed and leaf lanes (Figure 7).

These results show that the gene  
15 corresponding to the clone pLesq2 is highly and  
specifically expressed in seed of *L. fendleri*. In  
conjunction with knowledge of the nucleotide and  
deduced amino acid sequence, strong seed-specific  
expression of the gene corresponding to the insert  
20 in pLesq2 is a convincing indicator of the role of  
the enzyme in synthesis of hydroxylated fatty  
acids in the seed oil.

#### Characterization of a genomic clone of the gamma 25 hydroxylase

Genomic DNA was prepared from young leaves of  
*L. fendleri* as described by Murray and Thompson  
(1980). A *Sau3AI*-partial digest genomic library

constructed in the vector  $\lambda$ DashII (Stratagene,  
11011 North Torrey Pines Road, La Jolla CA 92037)  
was prepared by partially digesting 500  $\mu$ g of DNA,  
size-selecting the DNA on a sucrose gradient

5 (Sambrook et al., 1989), and ligating the DNA (12  
kb average size) to the *Bam*HI-digested arms of  
 $\lambda$ DashII. The entire ligation was packaged  
according to the manufacturer's conditions and  
plated on *E. coli* strain XL1-Blue MRA-P2  
10 (Stratagene). This yielded  $5 \times 10^5$  primary  
recombinant clones. The library was then  
amplified according to the manufacturer's  
conditions. A fraction of the genomic library was  
plated on *E. coli* XL1-Blue and resulting plaques  
15 (150,000) were lifted to charged nylon membranes  
(Hybond N+, Amersham), according to the  
manufacturer's conditions. DNA was crosslinked to  
the filters under UV in a Stratalinker  
(Stratagene).

20 Several clones carrying genomic sequences  
corresponding to the *L. fenderi* hydroxylase were  
isolated by probing the membranes with the insert  
from pLesq2 that was PCR-amplified with internal  
primers and labelled with  $^{32}$ P by random priming.  
25 The filters were prehybridized for 2 hours at 65°C  
in 7% SDS, 1mM EDTA, 0.25 M  $\text{Na}_2\text{HPO}_4$  (pH 7.2), 1%  
BSA and hybridized to the probe for 16 hours in  
the same solution. The filters were sequentially

washed at 65°C in solutions containing 2 X SSC, 1 X SSC, 0.5 X SSC in addition to 0.1 % SDS. A 2.6 kb *Xba* I fragment containing the complete coding sequence for the gamma-hydroxylase and

5 approximately 1 kb of the 5' upstream region was subcloned into the corresponding site of pBluescript KS to produce plasmid pLesq-Hyd and the sequence determined completely using an automatic sequencer by the dideoxy chain  
10 termination method. Sequence data was analyzed using the program DNASIS (Hitachi Company).

The sequence of the insert in clone pLesq-Hyd is shown in Figures 8A-B. The sequence entails 1855 bp of contiguous DNA sequence (SEQ ID NO:3).  
15 The clone encodes a 401 bp 5' untranslated region (i.e., nucleotides preceding the first ATG codon), an 1152 bp open reading frame, and a 302 bp 3' untranslated region. The open reading frame encodes a 384 amino acid protein with a predicted  
20 molecular weight of 44,370 (SEQ ID NO:4). The amino terminus lacks features of a typical signal peptide (von Heijne, 1985).

The exact translation-initiation methionine has not been experimentally determined, but on the  
25 basis of deduced amino acid sequence homology to the Castor kappa hydroxylase (noted below) is thought to be the methionine encoded by the first ATG codon at nucleotide 402.

Comparison of the pLesq-Hyd deduced amino acid sequence with sequences of membrane-bound desaturases and the castor hydroxylase (Figures 9A-B) indicates that pLesq-Hyd is homologous to these genes. This figure shows an alignment of the *L. fendleri* hydroxylase (SEQ ID NO:4) with the castor hydroxylase (van de Loo et al. 1995), the Arabidopsis *fad2* cDNA which encodes an endoplasmic reticulum-localized  $\Delta 12$  desaturase (called *fad2*) (Okuley et al., 1994), two soybean *fad2* desaturase clones, a *Brassica napus* *fad2* clone, a *Zea mays* *fad2* clone and partial sequence of a *R. communis* *fad2* clone.

The high degree of sequence homology indicates that the gene products are of similar function. For instance, the overall homology between the Lesquerella hydroxylase and the Arabidopsis *fad2* desaturase was 92.2% similarity and 84.8% identity and the two sequences differed in length by only one amino acid.

#### Southern hybridization

Southern analysis was used to examine the copy number of the genes in the *L. fendleri* genome corresponding to the clone pLesq-Hyd. Genomic DNA (5  $\mu$ g) was digested with *EcoR* I, *Hind* III and *Xba* I and separated on a 0.9% agarose gel. DNA was

alkali-blotted to a charged nylon membrane (Hybond N+, Amersham), according to the manufacturer's protocol. The blot was prehybridized for 2 hours at 65°C in 7% SDS, 1mM EDTA, 0.25 M Na<sub>2</sub>HPO<sub>4</sub> (pH 7.2), 1% BSA and hybridized to the probe for 16 hours in the same solution with pLesq-Hyd insert PCR-amplified with internal primers and labelled with <sup>32</sup>P by random priming. The filters were sequentially washed at 65°C in solutions containing 2 X SSC, 1 X SSC, 0.5 X SSC in addition to 0.1 % SDS, then exposed to X-ray film.

The probe hybridized with a single band in each digest of *L. fendleri* DNA (Figure 10), indicating that the gene from which pLesq-Hyd was transcribed is present in a single copy in the *L. fendleri* genome.

#### Expression of pLesq-Hyd in Transgenic Plants

There are a wide variety of plant promoter sequences which may be used to cause tissue-specific expression of cloned genes in transgenic plants. For instance, the napin promoter and the acyl carrier protein promoters have previously been used in the modification of seed oil composition by expression of an antisense form of a desaturase (Knutson et al. 1992). Similarly, the promoter for the  $\beta$ -subunit of soybean  $\beta$ -conglycinin has been shown to be highly active and



to result in tissue-specific expression in transgenic plants of species other than soybean (Bray et al., 1987). Thus, although we describe the use of the *L. fendleri* kappa hydroxylase promoter in the examples described here, other promoters which lead to seed-specific expression may also be employed for the production of modified seed oil composition. Such modifications of the invention described here will be obvious to one skilled in the art.

Constructs for expression of *L. fendleri* kappa hydroxylase in plant cells are prepared as follows: A 13 kb *SalI* fragment containing the pLesq-Hyg gene was ligated into the *XhoI* site of binary Ti plasmid vector pSLJ44026 (Jones et al., 1992) (Figure 11) to produce plasmid pTi-Hyd and transformed into *Agrobacterium tumefaciens* strains GV3101 by electroporation. Strain GV3101 (Koncz and Schell, 1986) contains a disarmed Ti plasmid. Cells for electroporation were prepared as follows. GV3101 was grown in LB medium with reduced NaCl (5 g/l). A 250 ml culture was grown to  $OD_{600} = 0.6$ , then centrifuged at 4000 rpm (Sorvall GS-A rotor) for 15 min. The supernatant was aspirated immediately from the loose pellet, which was gently resuspended in 500 ml ice-cold water. The cells were centrifuged as before, resuspended in 30 ml ice-cold water, transferred

to a 30 ml tube and centrifuged at 5000 rpm  
(Sorvall SS-34 rotor) for 5 min. This was  
repeated three times, resuspending the cells  
consecutively in 30 ml ice-cold water, 30 ml ice-  
5 cold 10% glycerol, and finally in 0.75 ml ice-cold  
10% glycerol. These cells were aliquoted, frozen  
in liquid nitrogen, and stored at  
-80°C. Electroporations employed a Biorad Gene  
pulsar instrument using cold 2 mm-gap cuvettes  
10 containing 40  $\mu$ l cells and 1  $\mu$ l of DNA in water,  
at a voltage of 2.5 KV, and 200 Ohms resistance.  
The electroporated cells were diluted with 1 ml  
SOC medium (Sambrook et al., 1989, page A2) and  
incubated at 28°C for 2-4 h before plating on  
15 medium containing kanamycin (50 mg/l).

*Arabidopsis thaliana* can be transformed with  
the *Agrobacterium* cells containing pTi-Hyd as  
described in Example 1 above. Similarly, the  
presence of hydroxylated fatty acids in the  
20 transgenic *Arabidopsis* plants can be demonstrated  
by the methods described in Example 1 above.

Constitutive expression of the *L. fendleri*  
hydroxylase in transgenic plants

25 A 1.5 kb *EcoR* I fragment from pLesq-Hyg  
comprising the entire coding region of the  
hydroxylase was gel purified, then cloned into the  
corresponding site of pBluescript KS (Stratagene).

Plasmid DNA from a number of recombinant clones was then restricted with *Pst* I, which should cut only once in the insert and once in the vector polylinker sequence. Release of a 920 bp fragment with *Pst* I indicated the right orientation of the insert for further manipulations. DNA from one such clone was further restricted with *Sal*I, the 5' overhangs filled-in with the Klenow fragment of DNA polymerase I, then cut with *Sac* I. The insert fragment was gel purified, and cloned between the *Sma* I and *Sac* I sites of pBI121 (Clontech) behind the Cauliflower Mosaic Virus 35S promoter. After checking that the sequence of the junction between insert and vector DNA was appropriate, plasmid DNA from a recombinant clone was used to transform *A. tumefaciens* (GV3101). Kanamycin resistant colonies were then used for *in planta* transformation of *A. thaliana* as previously described.

DNA was extracted from kanamycin resistant seedlings and used to PCR-amplify selected fragments from the hydroxylase using nested primers. When fragments of the expected size could be amplified, corresponding plants were grown in the greenhouse or on agar plates, and fatty acids extracted from fully expanded leaves, roots and dry seeds. GC-MS analysis was then performed as previously described to characterize

the different fatty acid species and detect accumulation of hydroxy fatty acids in transgenic tissues.

5     Expression of the *Lesquerella* hydroxylase in yeast

          In order to demonstrate that the cloned *L. fendleri* gene encoded an oleate-12 hydroxylase, the gene was expressed in yeast cells under transcriptional control of an inducible promoter and the yeast cells were examined for the presence of hydroxylated fatty acids by GC-MS.

          In a first step, a lambda genomic clone containing the *L. fendleri* hydroxylase gene was cut with *EcoRI*, and a resulting 1400 bp fragment containing the coding sequence of the hydroxylase gene was subcloned in the *EcoRI* site of the pBluescript KS vector (Stratagene). This subclone, pLesqcod, contains the coding region of the *Lesquerella* hydroxylase plus some additional 3' sequence.

          In a second step, pLesqcod was cut with *HindIII* and *XbaI*, and the insert fragment was cloned into the corresponding sites of the yeast expression vector pYes2 (In Vitrogen; Figure 12). This subclone, pLesqYes, contains the *L. fendleri* hydroxylase in the sense orientation relative to the 3' side of the *Gall* promoter. This promoter

is inducible by the addition of galactose to the growth medium, and is repressed upon addition of glucose. In addition, the vector carries origins of replication allowing the propagation of pLesqYes in both yeast and *E. coli*.

Transformation of *S. cerevisiae* host strain CGY2557

Yeast strain CGY2557 (*MAT $\alpha$* , *GAL*<sup>+</sup>, *ura3-52*, *leu2-3*, *trp1*, *ade2-1*, *lys2-1*, *his5*, *can1-100*) was grown overnight at 28°C in YPD liquid medium (10 g yeast extract, 20 g bacto-peptone, 20 g dextrose per liter), and an aliquot of the culture was inoculated into 100 ml fresh YPD medium and grown until the OD<sub>600</sub> of the culture was 1. Cells were then collected by centrifugation and resuspended in about 200  $\mu$ l of supernatant. 40  $\mu$ l aliquots of the cell suspension were then mixed with 1-2  $\mu$ g DNA and electroporated in 2 mm-gap cuvettes using a Biorad Gene Pulser instrument set at 600 V, 200  $\Omega$ , 25  $\mu$ F. 160  $\mu$ l YPD was added and the cells were plated on selective medium containing glucose. Selective medium consisted of 6.7 g yeast nitrogen base (Difco), 0.4 g casamino acids (Difco), 0.02 g adenine sulfate, 0.03 g L-leucine, 0.02 g L-tryptophan, 0.03 g L-lysine-HCl, 0.03 g L-histidine-HCl, 2% glucose, water to 1 liter. Plates were solidified using 1.5% Difco Bacto-

agar. Transformant colonies appeared after 3 to 4 days incubation at 28°C

Expression of the *L. fenderi* hydroxylase in yeast

5           Independent transformant colonies from the previous experiment were used to inoculate 5 ml of selective medium containing either 2% glucose (gene repressed) or 2% galactose (gene induced) as the sole carbon source. Independent colonies of  
10 CGY2557 transformed with pYES2 containing no insert were used as controls.

          After 2 days of growth at 28°C, an aliquot of the cultures was used to inoculate 5 ml of fresh selective medium. The new culture was placed at  
15 16°C and grown for 9 days.

Fatty acid analysis of yeast expressing the *L. fenderi* hydroxylase

          Cells from 2.5 ml of culture were pelleted at  
20 1800g, and the supernatant was aspirated as completely as possible. Pellets were then dispersed in 1 ml of 1 N methanolic HCl (Supelco, Bellafonte, PA). Transmethylation and derivatization of hydroxy fatty acids were  
25 performed as described above. After drying under nitrogen, samples were redissolved in 50µl chloroform before being analyzed by GC-MS. Samples were injected into an SP2330 fused-silica

capillary column (30 m x 0.25 mm ID, 0.25 $\mu$ m film thickness, Supelco). The temperature profile was 100 - 160°C, 25°C/min, 160 - 230°C, 10°C/min, 230°C, 3 min, 230-100°C, 25°C/min. Flow rate was 0.9 ml/min. Fatty acids were analyzed using a Hewlett-Packard 5971 series Msdetector.

Gas chromatograms of derivatized fatty acid methyl esters from induced cultures of yeast containing pLesqYes contained a novel peak that eluted at 7.6 min (Figure 13). O-TMS methyl ricinoleate eluted at exactly the same position on control chromatograms. This peak was not present in cultures lacking pLesqYes or in cultures containing pLesqYes grown on glucose (repressing conditions) rather than galactose (inducing conditions). Mass spectrometry of the peak (Figure 13) revealed that the peak has the same spectrum as O-TMS methyl ricinoleate. Thus, on the basis of chromatographic retention time and mass spectrum, it was concluded that the peak corresponded to O-TMS methyl ricinoleate. The presence of ricinoleate in the transgenic yeast cultures confirms the identity of the gene as a kappa hydroxylase of this invention.

### EXAMPLE 3. OBTAINING OTHER PLANT FATTY ACYL HYDROXYLASES

In a previous patent application, we

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described the ways in which the castor fah12  
sequence could be used to identify other kappa  
hydroxylases by methods such as PCR and  
heterologous hybridization. However, because of  
5 the high degree of sequence similarity between  $\Delta 12$   
desaturases and kappa hydroxylases, prior art does  
not teach how to distinguish between the two kinds  
of enzymes without a functional test such as  
demonstrating activity in transgenic plants or  
10 another suitable host (e.g., transgenic microbial  
or animal cells). The identification of the *L.*  
*fendleri* hydroxylase provided for the development  
of criteria by which a hydroxylase and a  
desaturase may be distinguished solely on the  
15 basis of deduced amino acid sequence information.

Figures 9A-B show a sequence alignment of the  
castor and *L. fendleri* hydroxylase sequences with  
the castor hydroxylase sequence and all publicly  
available sequences for all plant microsomal  $\Delta 12$   
20 fatty acid desaturases. Of the 384 amino acid  
residues in the castor hydroxylase sequence, more  
than 95% are identical to the corresponding  
residue in at least one of the desaturase  
sequences. Therefore, none of these residues are  
25 responsible for the catalytic differences between  
the hydroxylase and the desaturases. Of the  
remaining 16 residues in the castor hydroxylase  
and 14 residues in the *Lesquerella* hydroxylase,



all but six represent instances where the hydroxylase sequence has a conservative substitution compared with one or more of the desaturase sequences, or there is wide variability in the amino acid at that position in the various desaturases. By conservative, we mean that the following amino acids are functionally equivalent: Ser/Thr, Ile/Leu/Val/Met, Asp/Glu. Thus, these structural differences also cannot account for the catalytic differences between the desaturases and hydroxylases. This leaves just six amino acid residues where both the castor hydroxylase and the Lesquerella hydroxylase differ from all of the known desaturases and where all of the known microsomal  $\Delta 12$  desaturases have the identical amino acid residue. These residues occur at positions 69, 111, 155, 226, 304 and 331 of the alignment in Figure 9. Therefore, these six sites distinguish hydroxylases from desaturases. Based on this analysis, we claim that any enzyme with greater than 60% sequence identity to one of the enzymes listed in Figure 9 can be classified as a hydroxylase if it differs from the sequence of the desaturases at these six positions. Because of slight differences in the number of residues in a particular protein, the numbering may vary from protein to protein but the intent of the number system will be evident if the protein in question

is aligned with the castor hydroxylase using the numbering system shown herein. Thus, in conjunction with the methods for using the Lesquerella hydroxylase gene to isolate homologous  
5 genes, the structural criterion disclosed here teaches how to isolate and identify plant kappa hydroxylase genes for the purpose of genetically modifying fatty acid composition as disclosed herein and in the previous application (No.  
10 08/320,982).

09885189-062101  
TOT290-68758860

In considering which of the six substitutions are solely or primarily responsible for the difference in catalytic activity of the hydroxylases of this invention and the  
15 desaturases, we consider it likely that the substitution of a Phe for a Tyr at position 226 may be solely responsible for this difference in catalytic activity because of the known participation of tyrosine radicals in enzyme  
20 catalysis. Other substitutions, such as the Ala for Ser at position 331 may have effects at modulating the overall rate of the reaction. On this basis we envision creating novel kappa hydroxylases by site directed mutagenesis of  $\Delta 12$   
25 desaturases. We also envision converting  $\Delta 15$  desaturases and  $\Delta 9$  desaturases to hydroxylases by similar use of site-directed mutagenesis.

EXAMPLE 4 - USING HYDROXYLASES TO ALTER THE LEVEL  
OF FATTY ACID UNSATURATION

Evidence that kappa hydroxylases of this invention can be used to alter the level of fatty acid unsaturation was obtained from the analysis of transgenic plants that expressed the castor hydroxylase under control of the Cauliflower mosaic virus promoter. The construction of the plasmids and the production of transgenic Arabidopsis plants was described in Example 1 (above). The fatty acid composition of seed lipids from wild type and six transgenic lines (1-2/a, 1-2/b, 1-3/b, 4F, 7E, 7F) is shown in Table 2.

09085189-062101  
TOT290-68758860

Table 2. Fatty acid composition of lipids from Arabidopsis seeds. The asterisk (\*) indicates that for some of these samples, the 18:3 and 20:1 peaks overlapped on the gas chromatograph and, therefore, the total amount of these two fatty acids is reported.

Fatty acid	WT	1-2/a	1-2/b	1-3/b	4F	7E	7F
16:0	10.3	8.6	9.5	8.4	8.1	8.4	9
18:0	3.5	3.8	3.9	3.3	3.5	3.8	4.2
18:1	14.7	33	34.5	25.5	27.5	30.5	28.5
18:2	32.4	16.9	21	27.5	21.1	20.1	19.8
18:3	13.8	-	14.4	14.8	-	-	-
20:0	1.3	1.6	1	1.1	2.4	1.8	2
20:1	22.5	-	14.1	17.5	-	-	-
18:3 20:1*	-	31.2	-	-	32.1	30.8	30.6
Ricinoleic	0	0.6	0	0.1	0.2	0.7	0.9
Densipolic	0	0.6	0	0.1	0.2	0.5	0.6
Lesquerolic	0	0.2	0	0	0.2	0.2	0.6
Auricollic	0	0.1	0	0	0	0.1	0.1

09885189-062101

The results in Table 2 show that expression of the castor hydroxylase in transgenic Arabidopsis plants caused a substantial increase in the amount of oleic acid (18:1) in the seed lipids and an approximately corresponding decrease in the amount of linoleic acid (18:2). The average amount of oleic acid in the six transgenic lines was 29.9% versus 14.7% in the wild type.

The mechanism by which expression of the castor hydroxylase gene causes increased accumulation of oleic acid is not known. An understanding of the mechanism is not required in order to exploit this invention for the directed alteration of plant lipid fatty acid composition. Furthermore, it will be recognized by one skilled in the art that many improvements of this invention may be envisioned. Of particular interest will be the use of other promoters which have high levels of seed-specific expression.

Since hydroxylated fatty acids were not detected in the seed lipids of transgenic line 1-2b, it seems likely that it is not the presence of hydroxylated fatty acids per se that causes the effect of the castor hydroxylase gene on desaturase activity. We speculate that there may be a protein-protein interaction between the hydroxylase and the  $\Delta 12$ -oleate desaturase or another protein required for the overall reaction

09885189-062101

(eg., cytochrome b5) or for the regulation of desaturase activity. We envision that the interaction between the hydroxylase and this other protein suppresses the activity of the desaturase.

5 For instance, the quaternary structure of the membrane-bound desaturases has not been established. It is possible that these enzymes are active as dimers or as multimeric complexes containing more than two subunits. Thus, if

10 dimers or multimers formed between the desaturase and the hydroxylase, the presence of the hydroxylase in the complex may disrupt the activity of the desaturase. This general hypothesis will be tested directly by the

15 production of transgenic plants in which the hydroxylase enzyme has been rendered inactive by the elimination of one or more of the histidine residues that have been proposed to bind iron molecules required for catalysis. Several of

20 these histidine residues have been shown to be essential for catalysis by site directed mutagenesis (Shanklin et al., 1994). Codons encoding histidine residues in the castor hydroxylase gene described in U.S. patent

25 application 08/320,982 will be changed to alanine residues as described by Shanklin et al. (1994). The modified genes will be introduced into transgenic plants of Arabidopsis and possibly

other species such as tobacco by the methods described in Example 1 of this application or in Example 1 of the original version of this application (U.S. application 08/320,982).

5           In order to examine the effect on all tissues, the strong constitutive cauliflower mosaic virus promoter will be used to cause transcription of the modified genes. However, it will be recognized that in order to specifically  
10       examine the effect of expression of the mutant gene on seed lipids, a seed-specific promoter such as the *B. napus* napin promoter or the promoter described in Example 2, above, may be used. An expected outcome is that expression of the  
15       inactive hydroxylase protein in transgenic plants will inhibit the activity of the endoplasmic reticulum-localized  $\Delta 12$ -desaturase. Maximum inhibition will be obtained by expressing high levels of the mutant protein.

20           In a further embodiment of this invention, we envision that mutations that inactivate other hydroxylases, such as the *Lesquerella* hydroxylase of this invention, will also be useful for decreasing the amount of endoplasmic reticulum-  
25       localized  $\Delta 12$ -desaturase activity in the same way as the castor gene. In a further embodiment of this invention, we also envision that similar mutations of desaturase genes may be used to

inactivate endogenous desaturases. Thus, we envision that expression of catalytically inactive fad2 gene from Arabidopsis in transgenic Arabidopsis will inhibit the activity of the endogenous fad2 gene product.

Similarly, we envision that expression of the catalytically inactive forms of the  $\Delta 12$ -desaturase from Arabidopsis or other plants in transgenic soybean in transgenic rapeseed, Crambe, Brassica juncea, Canola, flax, sunflower, safflower, cotton, cuphea, soybean, peanut, coconut, oil palm and corn will lead to inactivation of endogenous  $\Delta 12$ -desaturase activity in these species. In a further embodiment of this invention we envision that expression of catalytically inactive forms of other desaturases such as the  $\Delta 15$ -desaturases will lead to inactivation of the corresponding desaturases.

Whatever the precise basis for the inhibitory effect of the castor hydroxylase on desaturation, because the castor hydroxylase has very low nucleotide sequence homology (i.e., about 67%) to the Arabidopsis fad2 gene (encoding the endoplasmic reticulum-localized  $\Delta 12$ -desaturase) we envision that the inhibitory effect of this gene, which we provisionally call "protein-mediated inhibition" ("protibition"), will have broad utility because it does not depend on a high



degree of nucleotide sequence homology between the  
transgene and the endogenous target gene. In  
particular, we envision that the castor  
hydroxylase may be used to inhibit the endoplasmic  
5 reticulum-localized  $\Delta 12$ -desaturase activity of all  
higher plants. Of particular relevance are those  
species used for oil production. These include  
but are not limited to rapeseed, Crambe, *Brassica*  
*juncea*, Canola, flax, sunflower, safflower,  
10 cotton, cuphea, soybean, peanut, coconut, oil palm  
and corn.

#### CONCLUDING REMARKS

By the above examples, demonstration of  
15 critical factors in the production of novel  
hydroxylated fatty acids by expression of a kappa  
hydroxylase gene from Castor in transgenic plants  
is described. In addition, a complete cDNA  
sequence of the *Lesquerella fendleri* kappa  
20 hydroxylase is also provided. A full sequence of  
the castor hydroxylase is also given with various  
constructs for use in host cells. Through this  
invention, one can obtain the amino acid and  
nucleic acid sequences which encode plant fatty  
25 acyl hydroxylases from a variety of sources and  
for a variety of applications. Also revealed is a  
novel method by which the level of fatty acid  
desaturation can be altered in a directed way

through the use of genetically altered hydroxylase or desaturase genes.

All publications mentioned in this specification are indicative of the level of skill of those skilled in the art to which this invention pertains. All publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be obvious that certain changes and modifications may be practiced within the scope of the appended claims.

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